

Homework:

Consider the cubic crystal system. Why is there **no distinct “base-centered cubic” lattice?**

## Homework

- 1.2 By analogy with Table 1.1, determine the number and type of 2D crystal systems via considering their possible minimum symmetry elements and sketching their Bravais lattices.

**Table 1.1** Sorting 32 crystallographic point groups into seven crystal systems.

Crystal system	Minimum symmetry	Higher-symmetry point groups
Triclinic	1	$\bar{1}$
Monoclinic	2, $m$	2/m
Orthorhombic	222	$mm2$ , $mmm$
Tetragonal	4, $\bar{4}$	4/m, 422, 4mm, $\bar{4} 2m$ , 4/mmm
Hexagonal	6, $\bar{6}$	6/m, 622, 6mm, $\bar{6}m2$ , 6/mmm
Trigonal	3, $\bar{3}$	32, 3m, $\bar{3}m$
Cubic	23	$m\bar{3}$ , $\bar{4} 3m$ , 432, $m\bar{3}m$

## 2-D crystal systems: minimum ↔ maximum point-group symmetry

Crystal system	Unit-cell metric (a,b,γ)	Minimum symmetry (H–M)	Maximum symmetry (H–M)	Intuition for “min → max”
Oblique	$a \neq b, \gamma \neq 90^\circ, 60^\circ$	1 (no symmetry)	2 (one 180° rotation, $C_2$ )	A generic motif can break $C_2$ ; the lattice itself still has a 2-fold (in 2-D this is inversion).
Rectangular	$a \neq b, \gamma = 90^\circ$	m (one mirror)	2mm ( $C_2$ + two perpendicular mirror families)	A motif can keep only one mirror; the lattice has both mirrors and a 2-fold.
Square	$a = b, \gamma = 90^\circ$	4 (four-fold only)	4mm ( $C_4$ + mirrors along axes & diagonals)	A motif can remove mirrors but keep $C_4$ ; the lattice has the full $D_4$ .
Hexagonal (triangular net)	$a = b, \gamma = 60^\circ$	3 (three-fold only)	6mm ( $C_6$ + six mirrors)	A motif can drop to 3-fold; the lattice has the full $D_6$ .

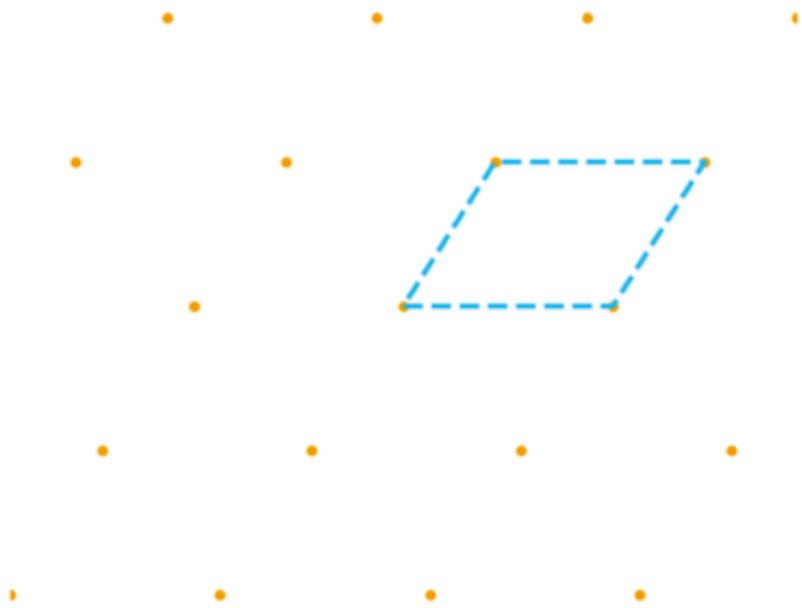
## 2-D Bravais lattices (5 total)

Bravais lattice	Lattice type	Metric constraints	Notes / equivalences
Oblique (p)	primitive	$a \neq b, \gamma \neq 90^\circ, 60^\circ$	General parallelogram.
Rectangular (p)	primitive	$a \neq b, \gamma = 90^\circ$	Edges orthogonal, unequal lengths.
Rectangular (c)	centered	$a \neq b, \gamma = 90^\circ$	Distinct Bravais type. Conventional rectangle has a lattice point at the center; primitive basis can be taken as $\mathbf{u} = \frac{1}{2}(\mathbf{a} + \mathbf{b}), \mathbf{y} = \frac{1}{2}(-\mathbf{a} + \mathbf{b})$ (a rhombus). <small>Wikipedia</small>
Square (p)	primitive	$a = b, \gamma = 90^\circ$	Any "centered square" reduces to a primitive square via a $45^\circ$ rotation and rescale (not a new Bravais type).
Hexagonal / Triangular (p)	primitive	$a = b, \gamma = 60^\circ$	Often called "triangular" in 2-D. Any centering is equivalent to primitive.

Aspect	Crystal system	Bravais lattice
What is classified?	Sets of <b>point groups</b> (rotations/mirrors about a fixed point)	Sets of <b>vector lattices</b> (translations) distinguished up to centering
Includes translations?	<b>No</b>	<b>Yes</b> (pure translations only; point symmetry comes from the lattice metric)
What it fixes	Cell <b>metric</b> /shape constraints (e.g., $a = b$ , $\gamma = 90^\circ$ for square)	Metric <b>and</b> whether a <b>distinct centering</b> exists (e.g., primitive vs centered)
2-D inventory	<b>4 systems</b> : oblique, rectangular, square, hexagonal	<b>5 Bravais types</b> : p-oblique, p-rectangular, <b>c-rectangular</b> , p-square, p-hexagonal
3-D inventory	<b>7 systems</b> : triclinic, monoclinic, orthorhombic, tetragonal, trigonal, hexagonal, cubic	<b>14 Bravais types</b> (e.g., cubic-P, cubic-I, cubic-F; orthorhombic-P/C/I/F; etc.)
Example mapping	"Square system" (requires $a = b$ , $\gamma = 90^\circ$ )	Within square: only <b>p-square</b> (any 'centered square' reduces to p-square). Rectangular admits <b>p</b> and <b>c</b> .

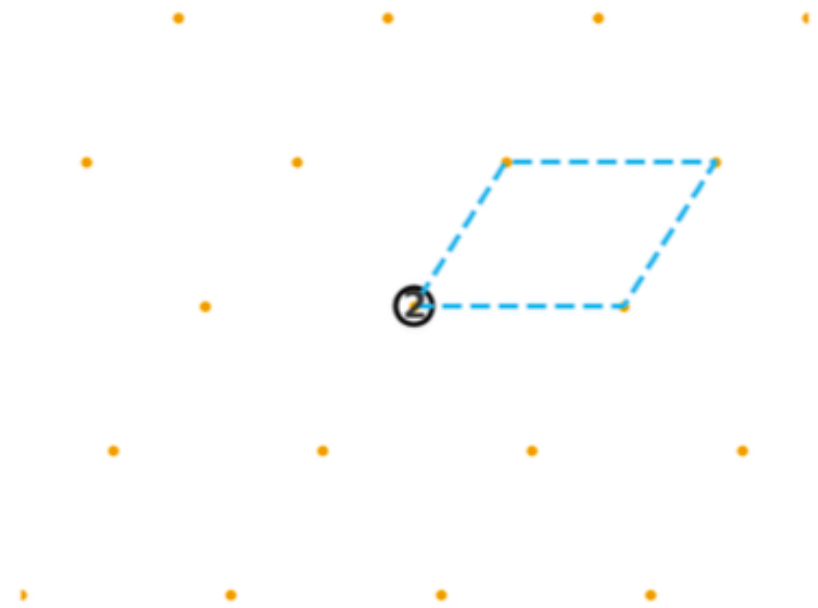
Oblique — min: 1

Oblique — minimum symmetry: 1



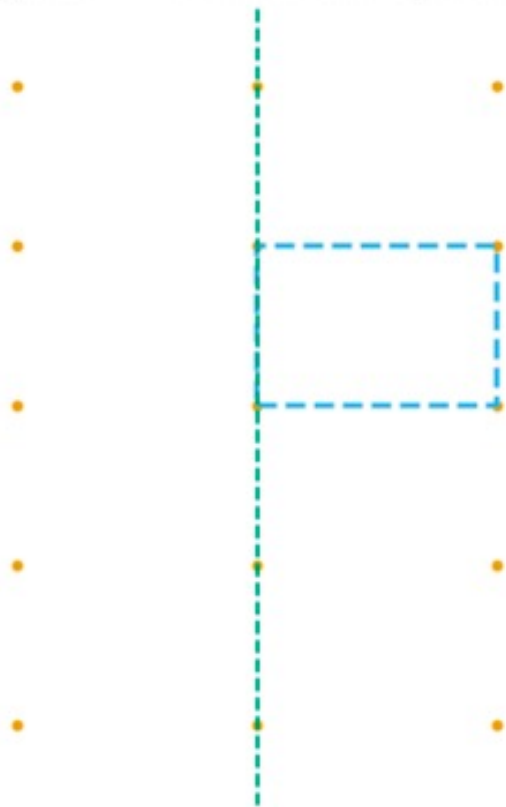
Oblique — max: 2

Oblique — maximum symmetry: 2



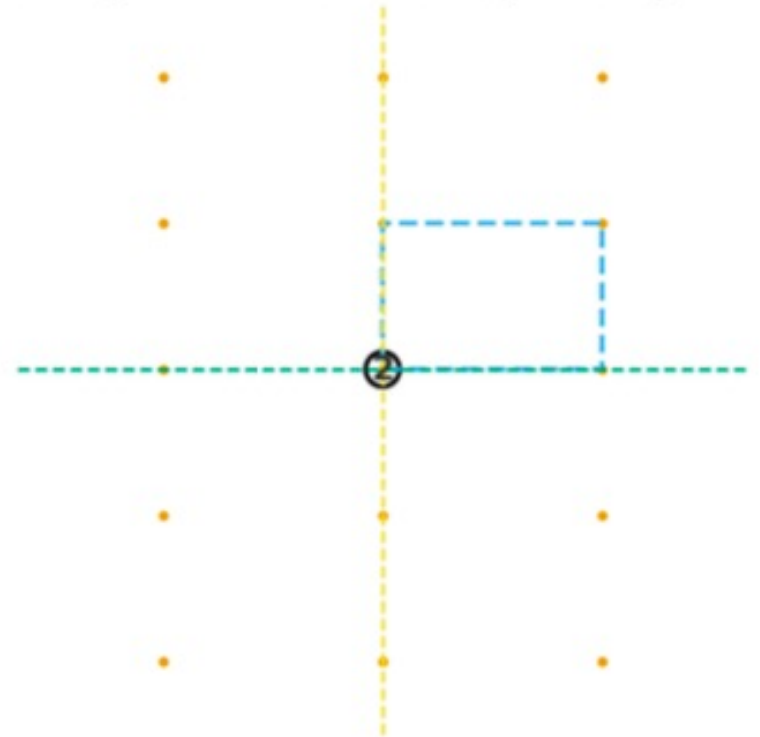
Rectangular — min:  $m$

Rectangular — minimum symmetry:  $m$



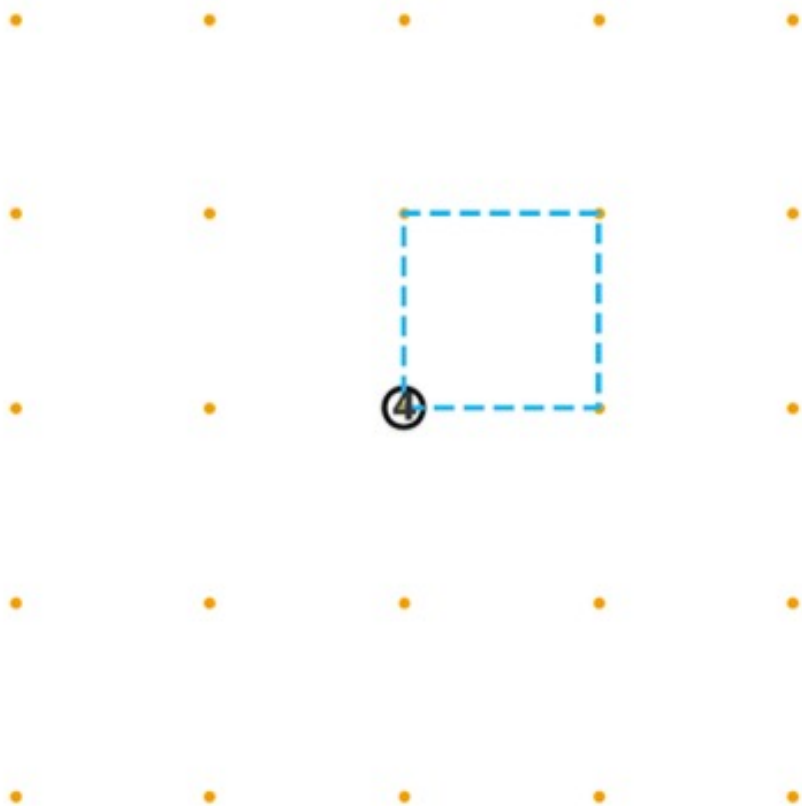
Rectangular — max:  $2mm$

Rectangular — maximum symmetry:  $2mm$



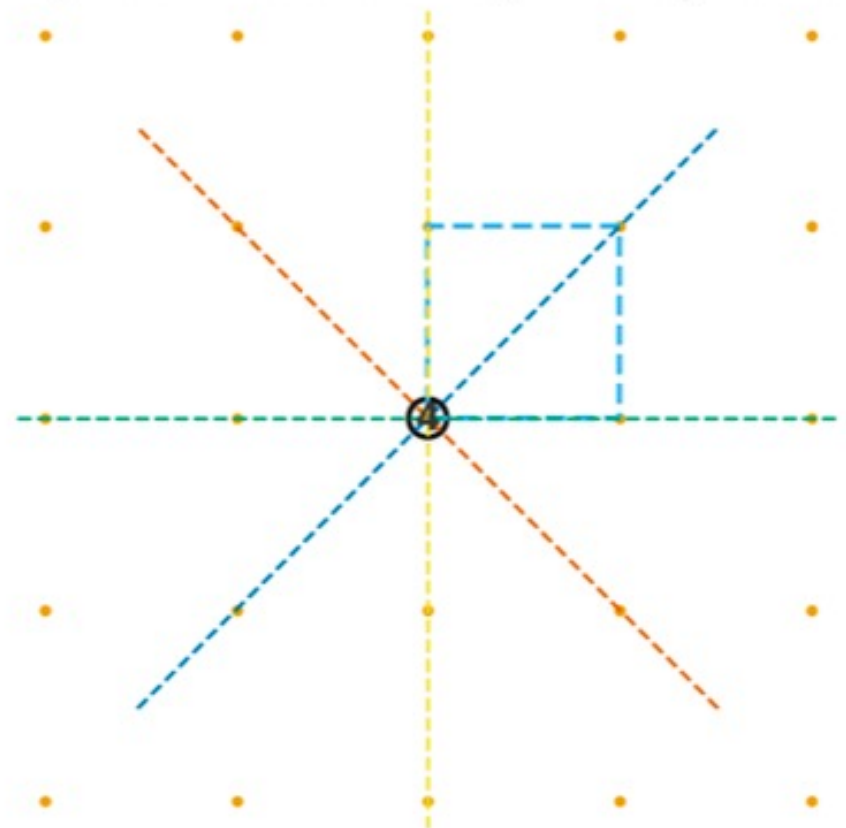
Square — min: 4

Square — minimum symmetry: 4



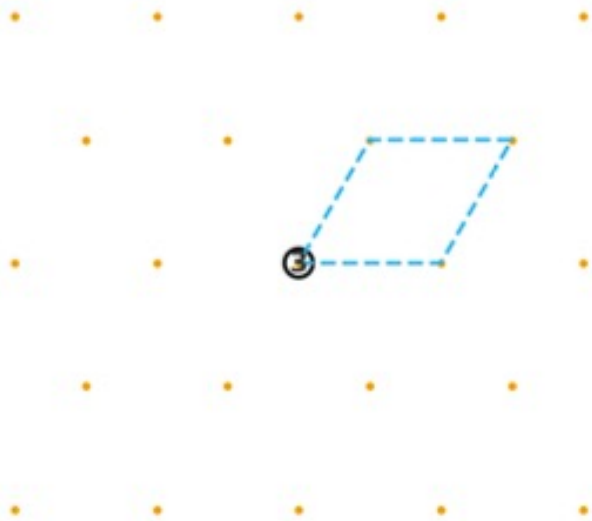
Square — max: 4mm

Square — maximum symmetry: 4mm



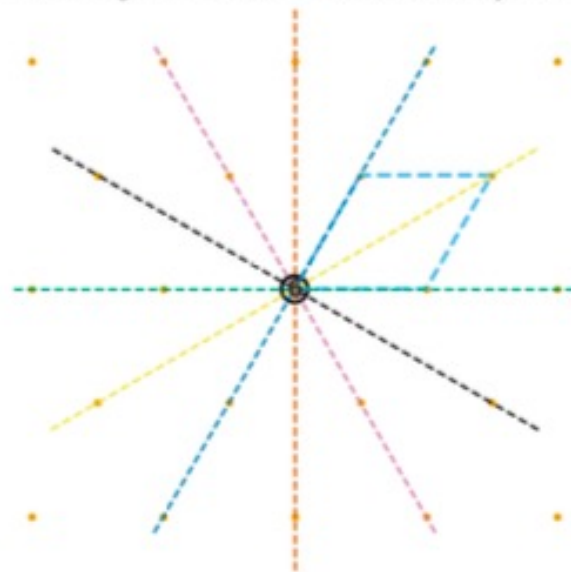
Hexagonal — min: 3

Hexagonal (triangular net) — minimum symmetry: 3

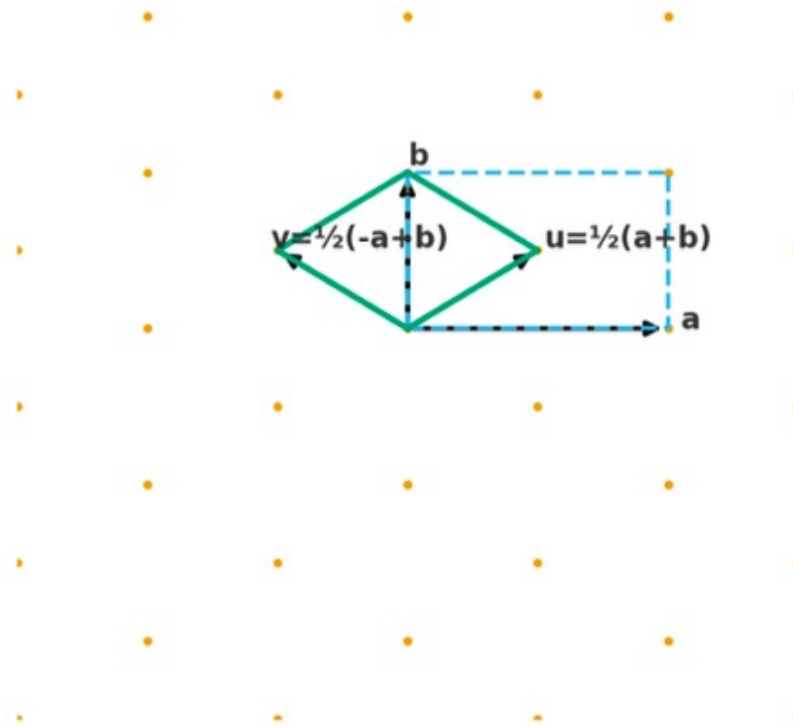


Hexagonal — max: 6mm

Hexagonal (triangular net) — maximum symmetry: 6mm



Bravais: Rectangular (centered) — dashed: conventional; solid: primitive rhombus



# Learning Objectives

- Define and describe the basic crystallographic point-symmetry elements (identity, inversion, mirror, rotation, rotoinversion).
- Distinguish between point groups (fixed point symmetry only) and space groups (point symmetry plus translation).
- Recognize and interpret non-symmorphic symmetry elements (glide planes and screw axes).
- Understand why only 2-, 3-, 4-, and 6-fold rotations are compatible with a lattice.
- Relate sets of symmetry elements to crystal systems, with the idea that these are organized into the 32 crystallographic point groups.

# What is a Symmetry Operation?

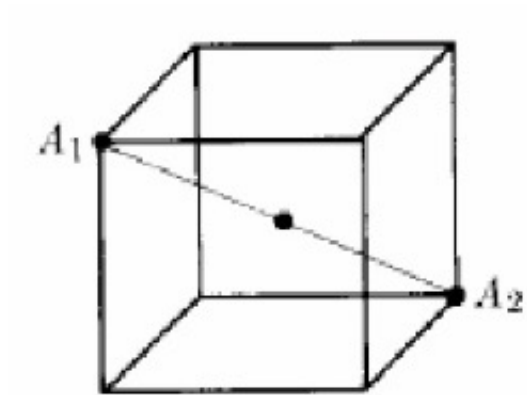
A **symmetry operation** is any transformation (rotation, reflection, inversion, translation, etc.) that maps a structure **onto itself** — i.e. after applying it, the object is indistinguishable from where it started.

Symmetry is a way crystallographers classify different types of crystals.

# Point Symmetry Elements

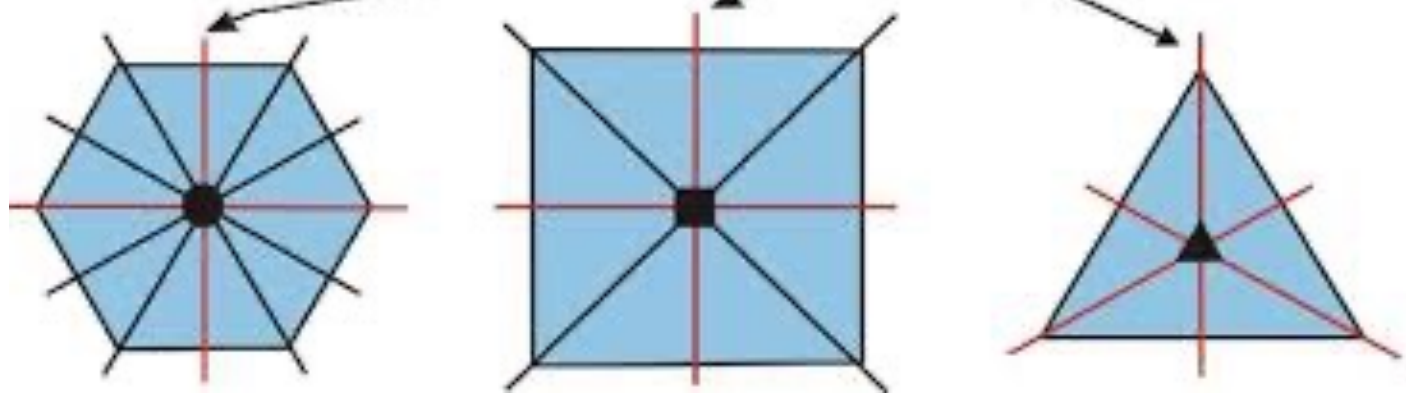
Point Symmetry Element	Symmetry Operation
1. Identity ( $1$ )	Nothing changes
2. Inversion center ( $\bar{1}$ )	Inversion through a point
3. Mirror plane ( $m$ )	Reflect through the plane
4. Proper rotation axis ( $N$ )	Rotate by $360/N$ degrees about the axis
5. Rotoinversion axis ( $\bar{N}$ )	Rotate by $360/N$ degrees about the axis, followed by inversion operation

# Inversion Center

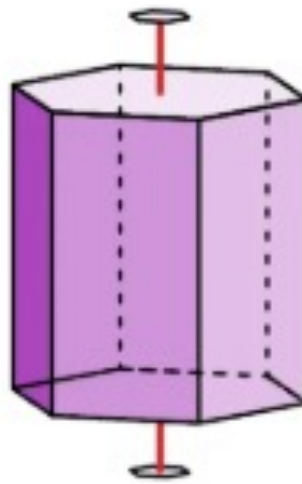


- Inversion through a point, called the center of symmetry

# Mirror Planes

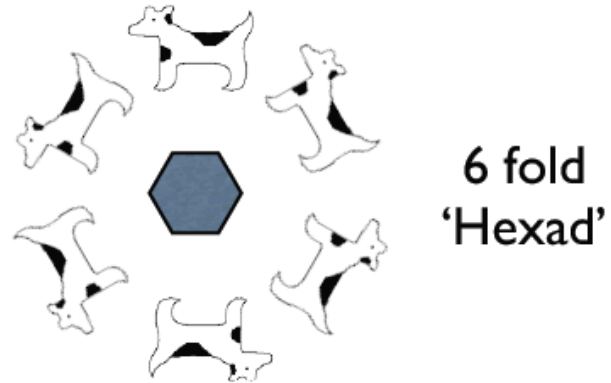
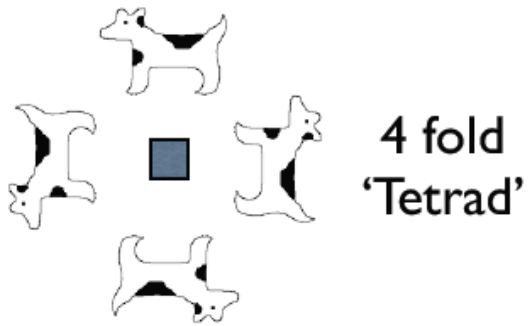
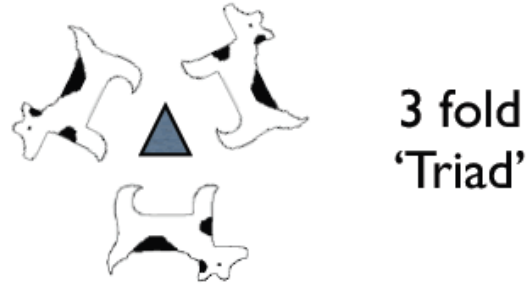


**sixfold axis of rotation**

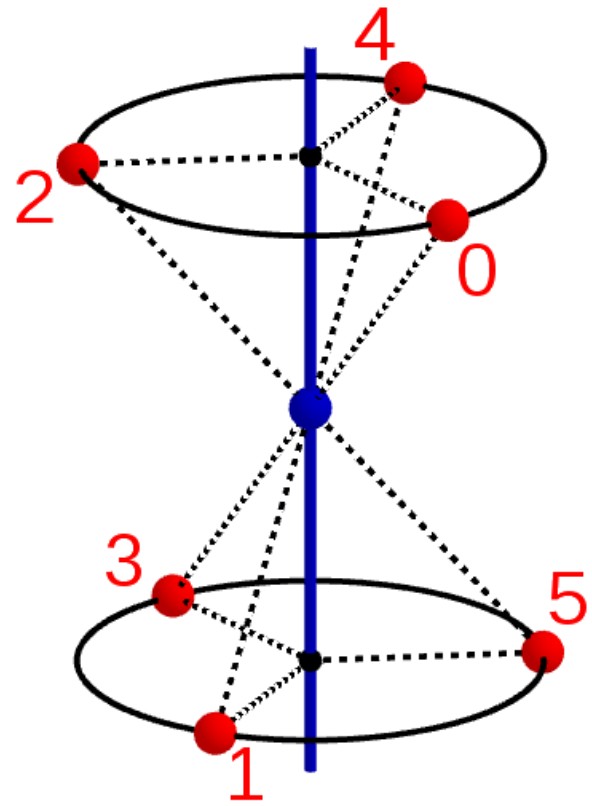
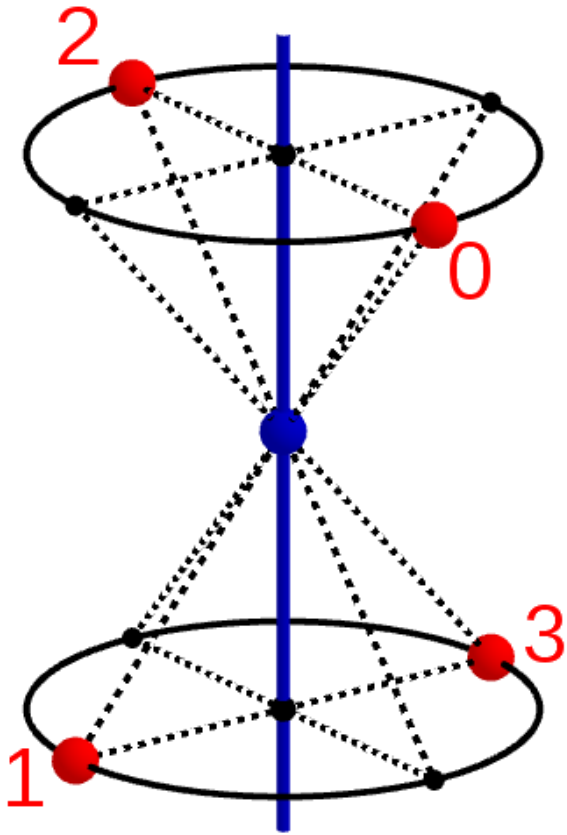


When rotated about its axis, the crystal repeats itself every  $60^\circ$  (six times in a  $360^\circ$  rotation).

An  $n$  fold rotational symmetry operation rotates an object by  $360^\circ/n$ . Only  $n = 1, 2, 3, 4,$  and  $6$  are permitted in a periodic lattice



Rotoinversion



**Table 1.28** Point symmetry elements

Symmetry element	Written symbol	Graphical symbol
<b>Rotation axes</b>	1	None
	2	
	3	
	4	
	6	
	<b>Inversion axes</b>	$\bar{1}$
$\bar{2} (\equiv m)$		— <sup>b</sup>
$\bar{3} (\equiv 3 + \bar{1})$		
$\bar{4}$		
$\bar{6} (\equiv 3/m)$		
<b>Mirror plane</b>		$m$

<sup>a</sup>The inversion axis,  $\bar{1}$ , equivalent to a centre of symmetry, is represented as a small open circle,  $o$ , in space groups, but does not have a formal graphical representation in point groups, even though it is present in many point groups.

<sup>b</sup>The inversion axis,  $\bar{2}$  does not have a separate graphical symbol other than that of the mirror plane equivalent to it.

# Point & Composite Symmetry Elements

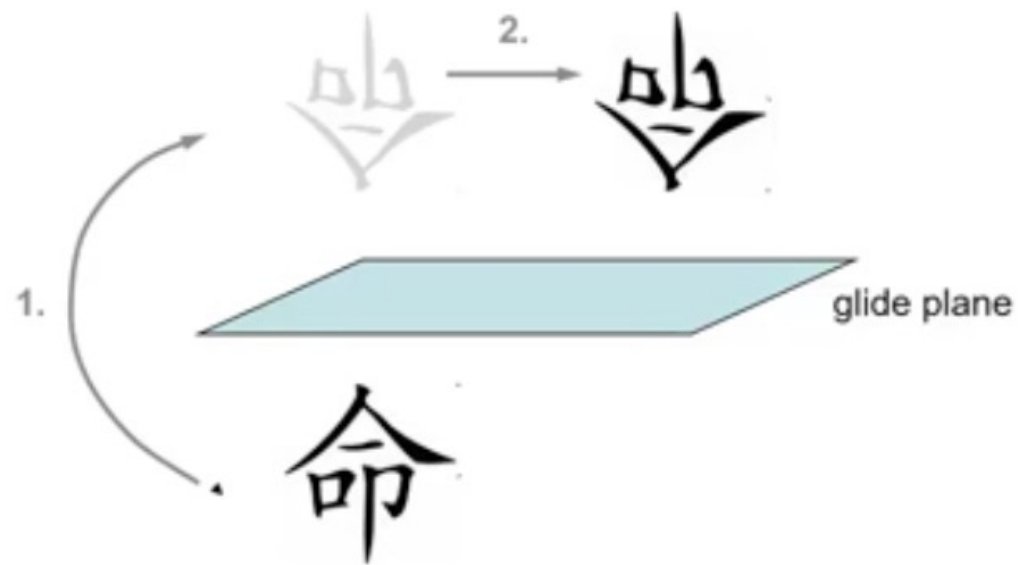
Point Symmetry Element	Symmetry Operation
1. Identity ( $1$ )	Nothing changes
2. Inversion center ( $\bar{1}$ )	Inversion through a point
3. Mirror plane ( $m$ )	Reflect through the plane
4. Proper rotation axis ( $N$ )	Rotate by $360/N$ degrees about the axis
5. Rotoinversion axis ( $\bar{N}$ )	Rotate by $360/N$ degrees about the axis, followed by inversion operation
6. Glide plane ( $a, b, c, n, d$ )	Reflect through a plane, then translate parallel to the plane
7. Screw axis ( $N_M$ )	Rotate by $360/N$ degrees about the axis, followed by a translation by $M/N$ of the unit cell parallel to the axis

# Glide Planes



1. Reflect through the glide plane  
(just as with a mirror plane)
2. Translate parallel to the glide plane

# Glide Planes

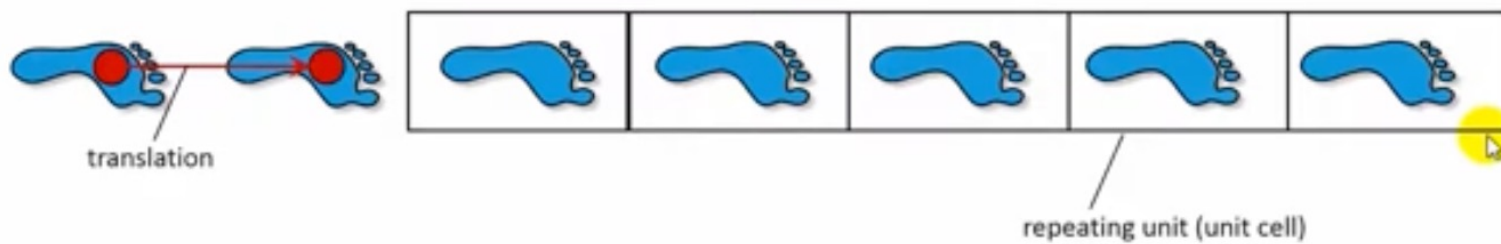


**1. Reflect through the glide plane**  
(just as with a mirror plane)

**2. Translate parallel to the glide plane**

## Translational Symmetry

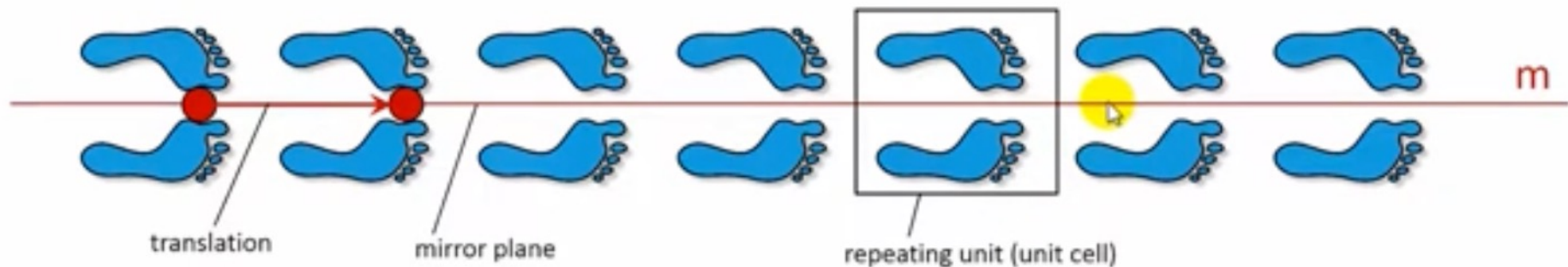
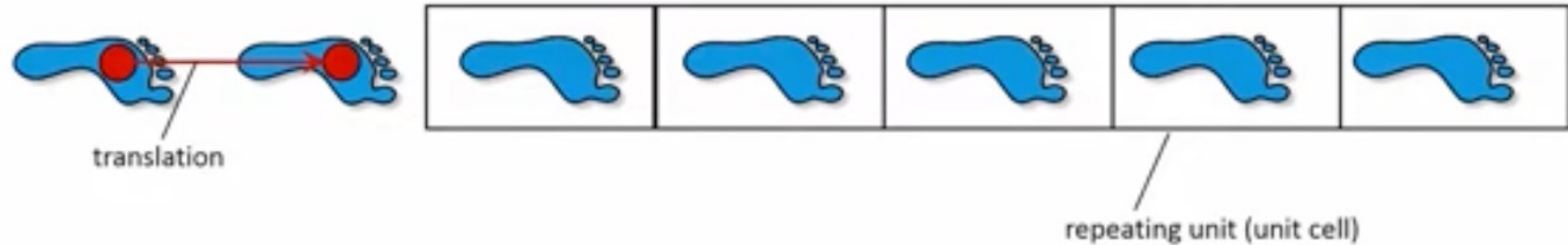
- There are three symmetry elements, which have a translational component
  - Translation (in units of whole unit cells along the lattice vectors)



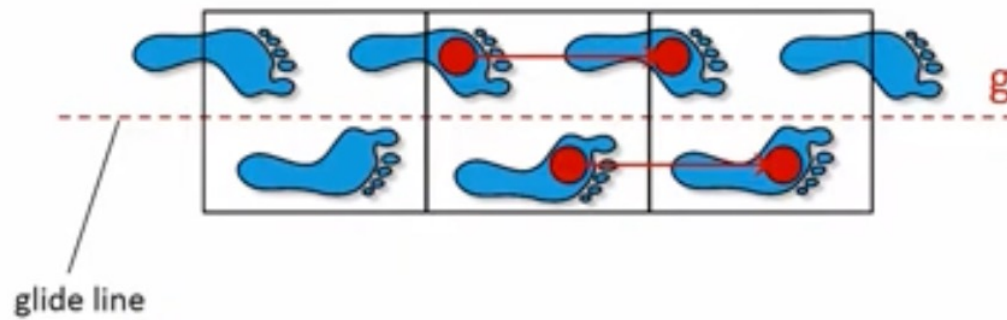
# Translational Symmetry

- There are three symmetry elements, which have a translational component

- Translation (in units of whole unit cells along the lattice vectors)



## Glide planes/lines

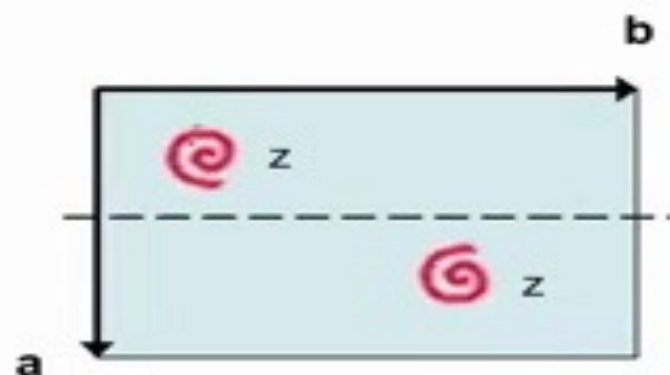


glide reflection

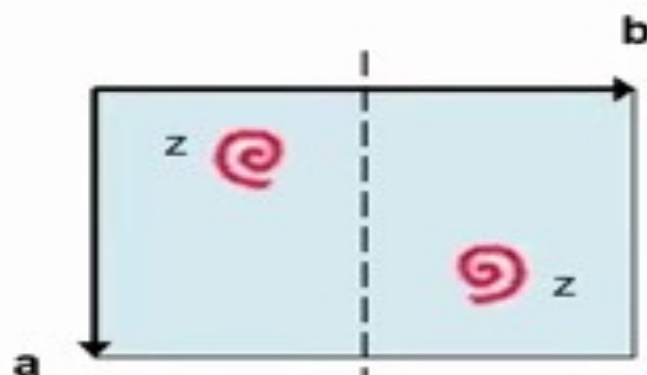


- (a) reflection at a plane / line
- (b) translation (usually by  $\frac{1}{2}$  of the unit cell)

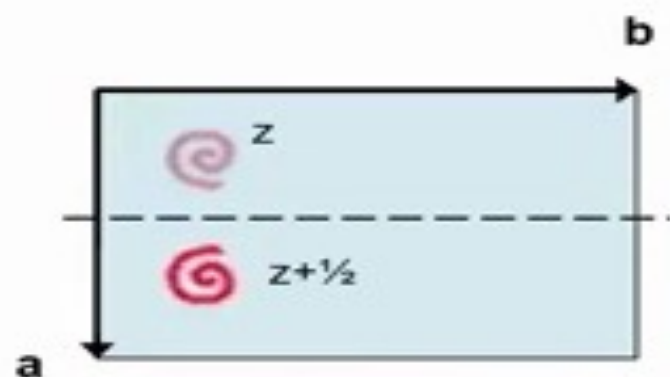
# Types of Glide Planes



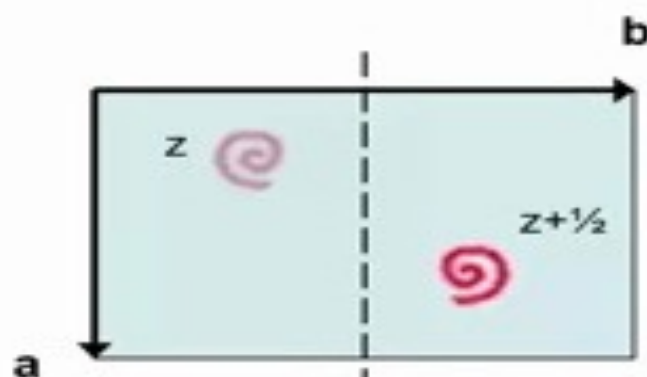
**b glide plane**  
translate by  $\frac{1}{2} b$



**a glide plane**  
translate by  $\frac{1}{2} a$



**c glide plane**  
translate by  $\frac{1}{2} c$



**n glide plane**  
translate by  $\frac{1}{2} a + \frac{1}{2} c$

## Types of Glide Planes

Herman-Mauguin Symbol	Plane $\perp$ to which axis	Translation vector
Axial Glides		
<i>a</i>	b or c	$\frac{1}{2} \mathbf{a}$
<i>b</i>	a or c	$\frac{1}{2} \mathbf{b}$
<i>c</i>	a or b	$\frac{1}{2} \mathbf{c}$
Diagonal glides, <i>n</i>		
	a	$\frac{1}{2} \mathbf{b} + \frac{1}{2} \mathbf{c}$
	b	$\frac{1}{2} \mathbf{a} + \frac{1}{2} \mathbf{c}$
	c	$\frac{1}{2} \mathbf{a} + \frac{1}{2} \mathbf{b}$
Diamond glides, <i>d</i>		
	a	$\frac{1}{4} \mathbf{b} + \frac{1}{4} \mathbf{c}$
	b	$\frac{1}{4} \mathbf{a} + \frac{1}{4} \mathbf{c}$
	c	$\frac{1}{4} \mathbf{a} + \frac{1}{4} \mathbf{b}$

## $N_M$ Screw Axes



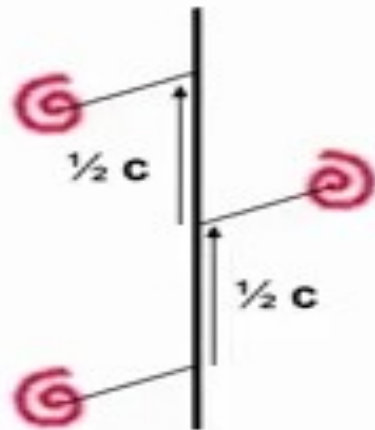
**$2_1$  screw axis**  
Rotate by  $180^\circ$   
Translate by  $\frac{1}{2} c$



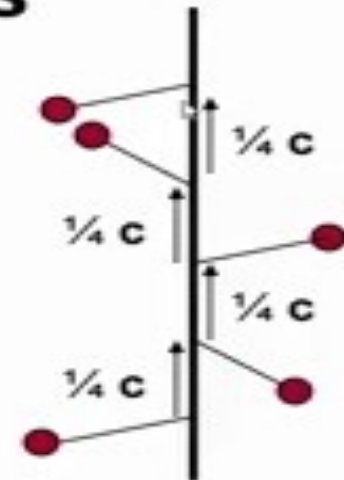
**$4_1$  screw axis**  
Rotate by  $90^\circ$   
Translate by  $\frac{1}{4} c$

1. Rotate by  $360/N$  (just as with a  $N$ -fold proper rotation axis)
2. Translate by  $M/N$  of a unit cell vector parallel to the screw axis

## $N_M$ Screw Axes



**$2_1$  screw axis**  
Rotate by  $180^\circ$   
Translate by  $\frac{1}{2} c$



**$4_1$  screw axis**  
Rotate by  $90^\circ$   
Translate by  $\frac{1}{4} c$

1. Rotate by  $360/N$  (just as with a  $N$ -fold proper rotation axis)
2. Translate by  $M/N$  of a unit cell vector parallel to the screw axis

# Point Groups

A point group is a set of operations (point symmetry elements) that fulfil the mathematical requirements of being a group and act on an isolated object.

A group must

- have a closure (combination of two elements yields an element of the group),
- obey the associative law (the result of combination of the elements is independent of the order they are applied), have an
- have an identity (an element that converts other elements into themselves),
- have an inversion (every element has an inverse element; when combined together, they yield the identity element)

## Question

Let's take a twofold rotation axis. And let's have a mirror plane perpendicular to it.

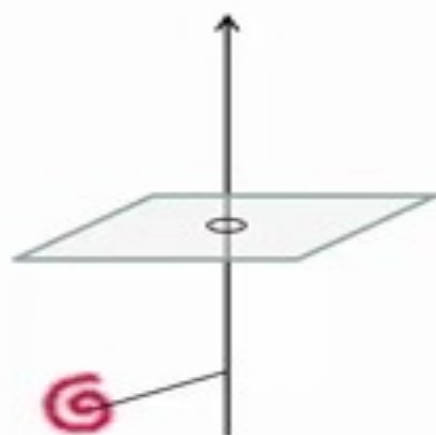
Is that a valid point group, just those two symmetry operations?

If not, what other symmetry operations do we need to add to those two operations or elements to get a valid point group?

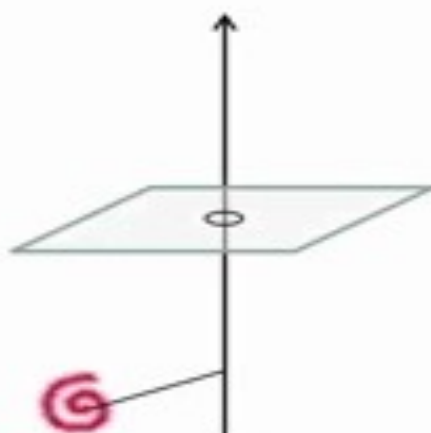
## Point group 2/m ( $C_{2h}$ )



## Point group $2/m$ ( $C_{2h}$ )

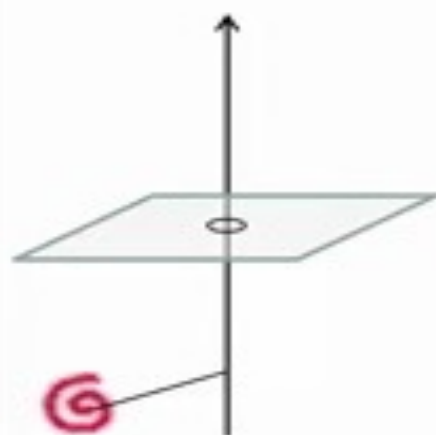


identity

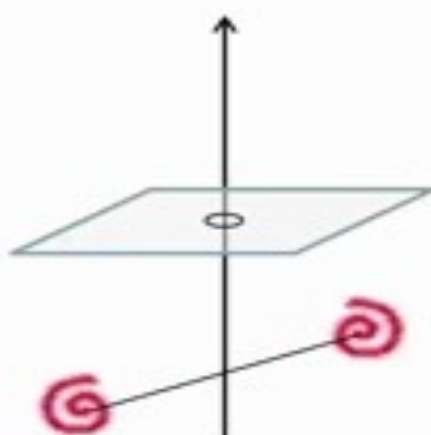


2-fold  
rotation

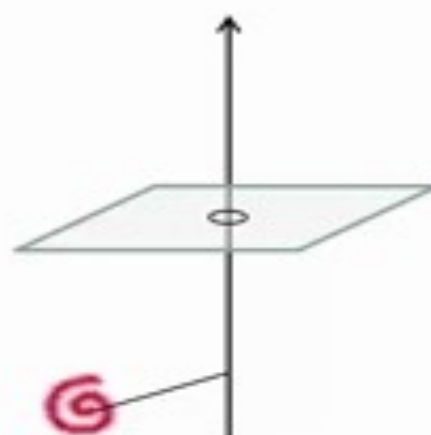
## Point group $2/m$ ( $C_{2h}$ )



identity



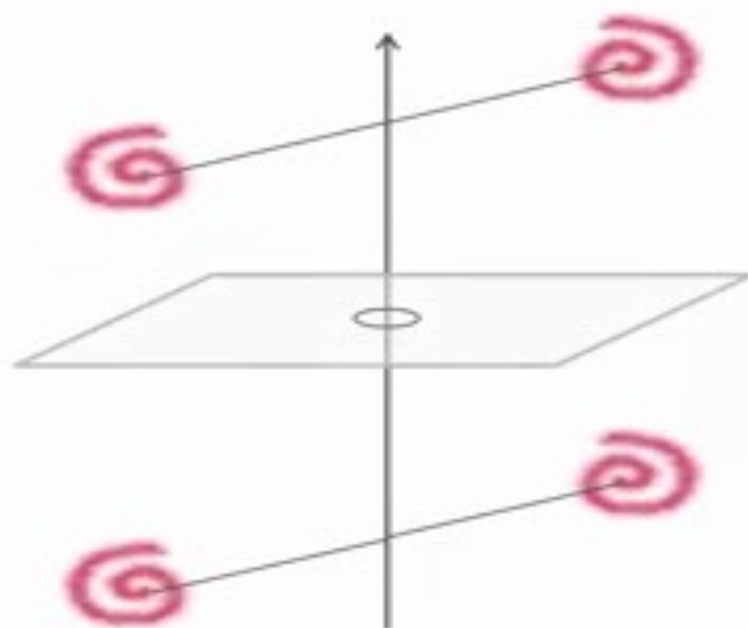
2-fold  
rotation



mirror  
plane



## Point group $2/m$ ( $C_{2h}$ )



## 32 Crystallographic Point Groups

Crystal System	Unit Cell	Required symmetry	Point groups
Cubic	Cubic	3-fold axes along body diagonal	$23, m\bar{3}, \bar{4}3m, 432, m\bar{3}m$
Tetragonal	Tetragonal	4-fold axis	$4, \bar{4}, 4/m, 422, 4mm, \bar{4}m2, 4/mmm$
Hexagonal	Hexagonal	6-fold axis	$6, \bar{6}, 6/m, 622, 6mm, \bar{6}m2, 6/mmm$
Trigonal	Hexagonal or Rhombohedral	3-fold axis	$3, \bar{3}, 32, 3m, \bar{3}m$
Orthorhombic	Orthorhombic	Three mutually perpendicular 2-fold axes or mirror planes	$222, 2mm, mmm$
Monoclinic	Monoclinic	2-fold axis or mirror plane	$2, m, 2/m$
Triclinic	Triclinic	none	$1, \bar{1}$

# Who Cares about Point Groups?

- This classification gives a “shorthand” for the full set of symmetries — instead of listing all operations one by one, you just say “ $C_{2v}$ ” or “ $m\bar{3}m$ ” and an expert immediately knows the symmetries present.
- Many physical properties (optical, electrical, mechanical) depend directly on the symmetry of the crystal:
- **Piezoelectricity** only occurs in non-centrosymmetric point groups.
- **Ferroelectricity** only occurs in certain polar point groups.
- **Optical anisotropy (birefringence)** depends on whether the point group has rotational symmetry axes that constrain refractive indices.
- By knowing the point group, you can immediately rule in or rule out whole classes of properties.

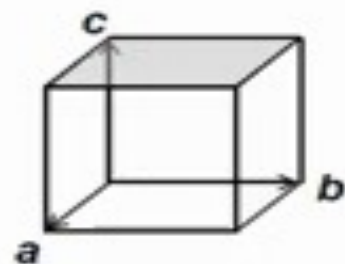
# Schoenflies & Hermann Mauguin

Crystal System	Unit Cell	Hermann-Mauguin Point groups	Schoenflies Point groups
Cubic	Cubic	$23, m\bar{3}, \bar{4}3m, 432, m\bar{3}m$	$T, T_h, T_d, O, O_h$
Tetragonal	Tetragonal	$4, \bar{4}, 4/m, 422, 4mm, \bar{4}m2, 4/mmm$	$C_4, S_4, C_{4h}, D_4, C_{4v}, D_{2d}, D_{4h}$
Hexagonal	Hexagonal	$6, \bar{6}, 6/m, 622, 6mm, \bar{6}m2, 6/mmm$	$C_6, C_{3h}, C_{6h}, D_6, C_{6v}, D_{3h}, D_{6h}$
Trigonal	Hexagonal or Rhombohedral	$3, \bar{3}, 32, 3m, \bar{3}m$	$C_3, C_{3i}, D_3, C_{3v}, D_{3d}$
Orthorhombic	Orthorhombic	$222, 2mm, mmm$	$D_2, C_{2v}, D_{2h}$
Monoclinic	Monoclinic	$2, m, 2/m$	$C_2, C_s, C_{2h}$
Triclinic	Triclinic	$1, \bar{1}$	$C_1, C_i$

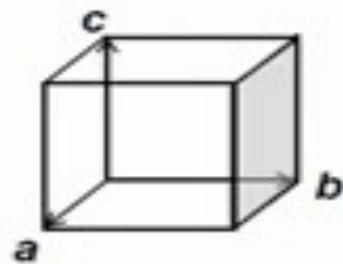
# Homework

- List the five fundamental point-symmetry elements and briefly describe what each does.
- Why are only 2-, 3-, 4-, and 6-fold rotations allowed in crystals?

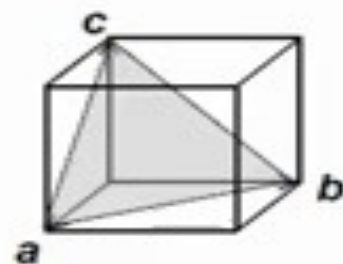
# Directions in Crystals



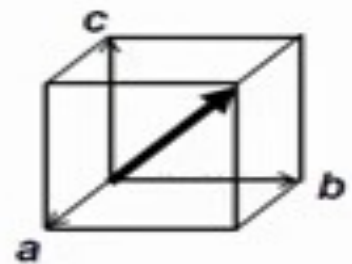
a single  
(001) plane



a single  
(010) plane



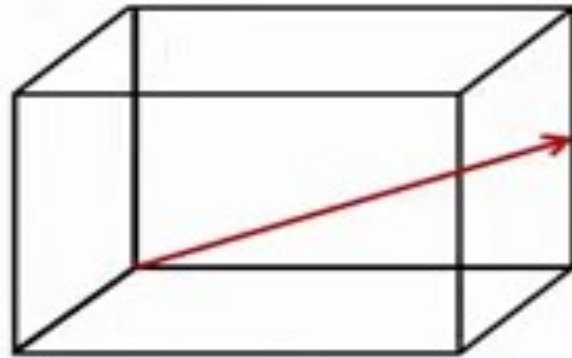
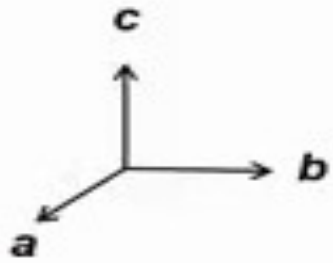
a single  
(111) plane



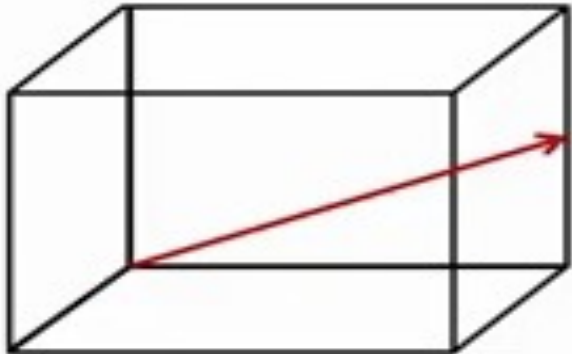
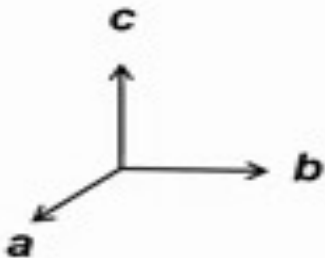
a [111]  
direction

**Directions** in a crystalline lattice are represented as  $[uvw]$ , where  $u$ ,  $v$  and  $w$  are the fractional coordinates of any point that a line extending from the origin intersects with. By convention we normally use the smallest set of integers for  $uvw$ .  $[121] = [\frac{1}{2} 1 \frac{1}{2}] = [242]$

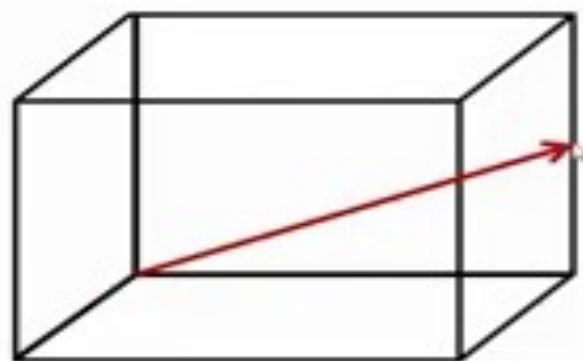
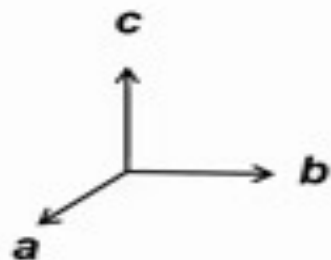
What direction is shown below?



What direction is shown below?



What direction is shown below?



Coordinates where it crosses  
the unit cell boundary

$$a = 0$$

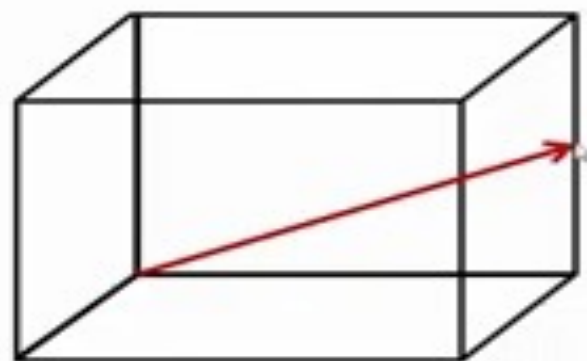
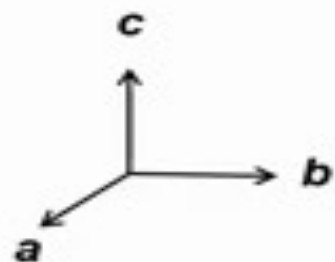
$$b = 1$$

$$c = \frac{1}{2}$$



$$R = b + \frac{1}{2}c$$

What direction is shown below?



Coordinates where it crosses  
the unit cell boundary

$$a = 0$$

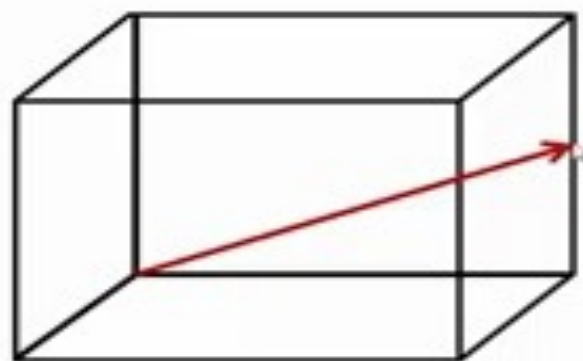
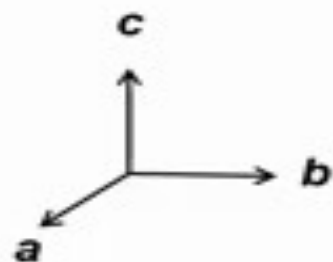
$$b = 1$$

$$c = \frac{1}{2}$$



$$R = b + \frac{1}{2}c$$

What direction is shown below?



Coordinates where it crosses  
the unit cell boundary

$$a = 0$$

$$b = 1$$

$$c = \frac{1}{2}$$

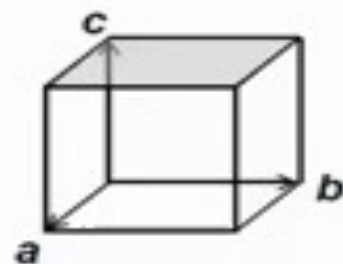


$$R = b + \frac{1}{2}c$$

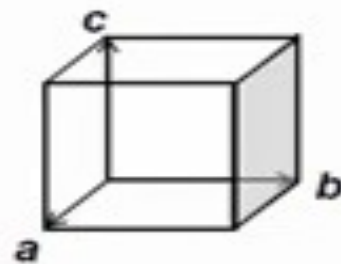


$$[021]$$

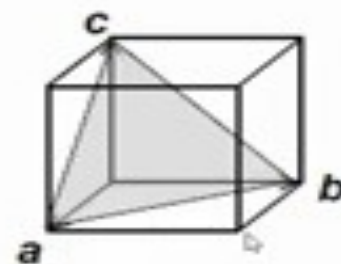
# Miller Indices of Equivalent Planes



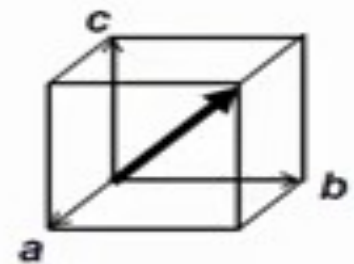
a single  
(001) plane



a single  
(010) plane



a single  
(111) plane



a [111]  
direction

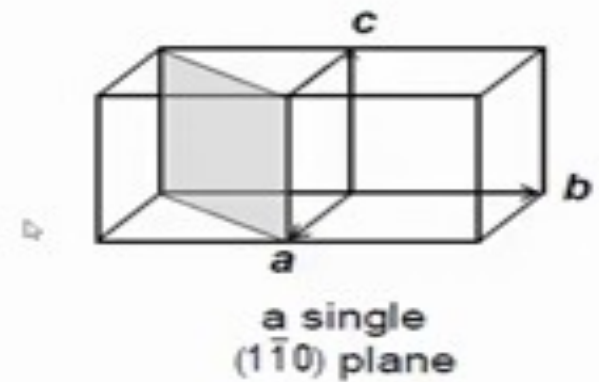
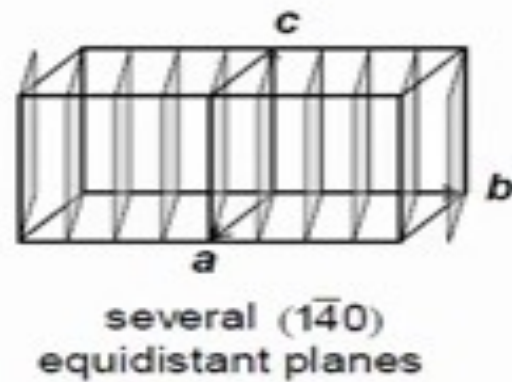
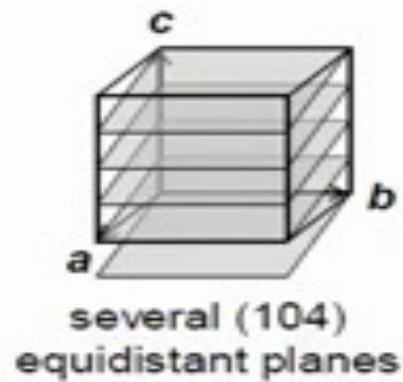
Sets of equidistant parallel planes in a crystalline lattice are represented as  $(hkl)$ . These values are often called Miller Indices. To determine the values of  $h$ ,  $k$  and  $l$  we look at the plane that is next to the plane that goes through the origin and determine its intercepts with the lattice vectors  $a$ ,  $b$  and  $c$ .

$h =$  to  $1/(a \text{ intercept})$

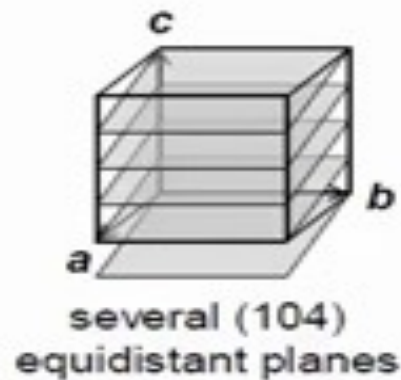
$k =$  to  $1/(b \text{ intercept})$

$l =$  to  $1/(c \text{ intercept})$

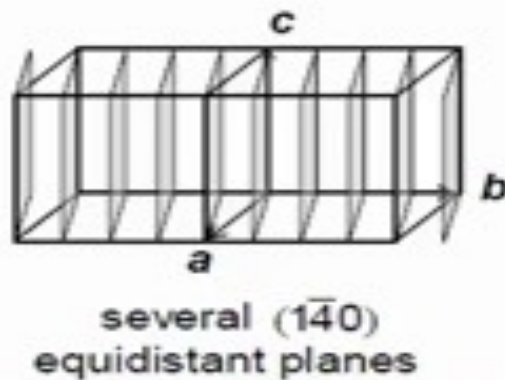
# Equivalent Planes in Crystals



# Equivalent Planes in Crystals

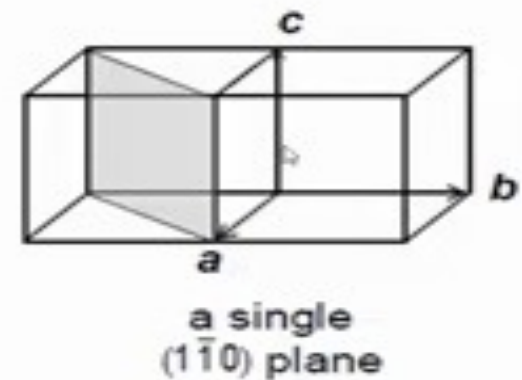


Intercepts  
 $a$  at 1  
 $b$  no intercept  
 $c$  at  $\frac{1}{4}$



Intercepts  
 $a$  at 1  
 $b$  at  $-\frac{1}{4}$   
 $c$  no intercept

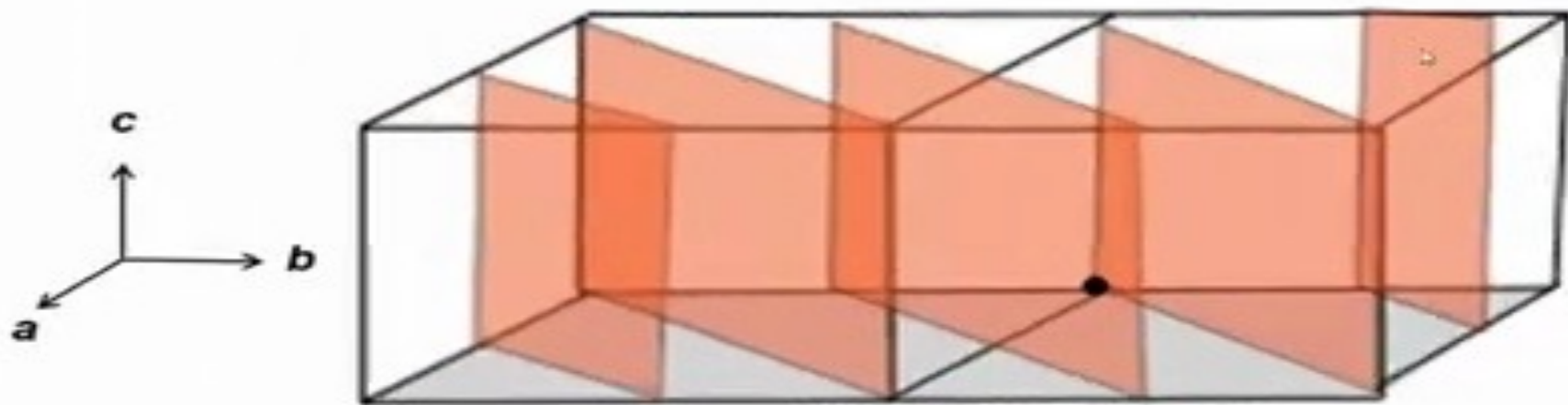
Equivalently we  
could use ( $\bar{1}40$ )



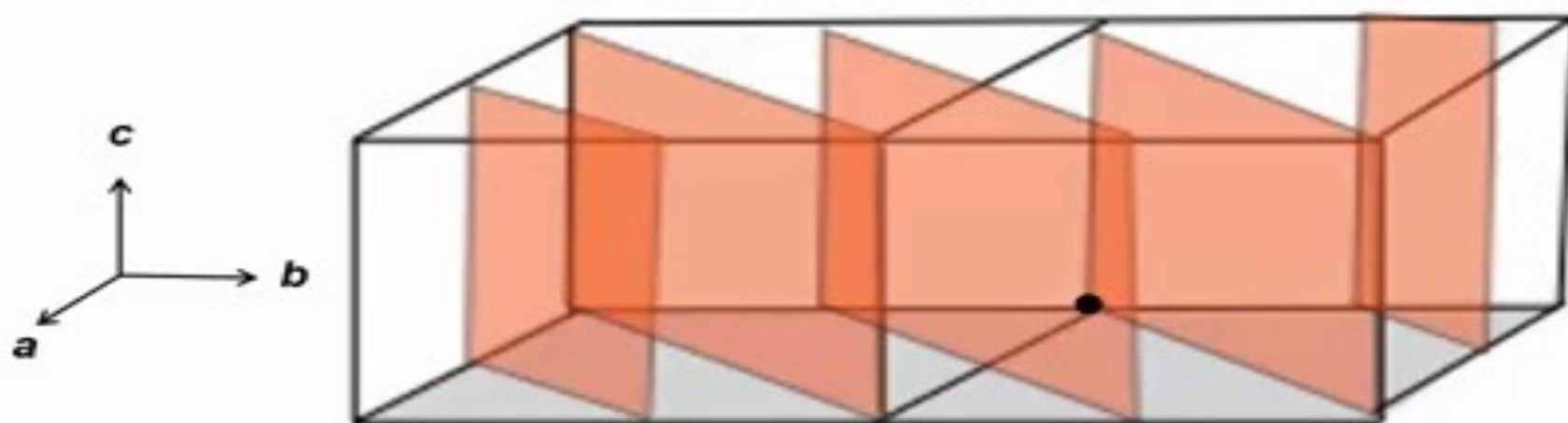
Intercepts  
 $a$  at 1  
 $b$  at  $-1$   
 $c$  no intercept

Equivalently we  
could use ( $\bar{1}10$ )

What are the Miller Indices for the set of planes shown below?



What are the Miller Indices for the set of planes shown below?



Intercepts

$a$  at  $\frac{1}{2}$

$b$  at  $-\frac{1}{2}$

$c$  no intercept



$(2\bar{2}0)$

## Symmetrically Equivalent Directions and Lattice Planes

In certain lattices different directions can be equivalent due to symmetry. For example, in a cubic crystal the  $[100]$ ,  $[010]$ ,  $[001]$ ,  $[\bar{1}00]$ ,  $[0\bar{1}0]$  and  $[00\bar{1}]$  directions are all symmetrically equivalent. We refer to this set of equivalent directions with angled brackets  $\langle 100 \rangle$ .

Similarly, a collection of equivalent lattice planes is denoted with curly brackets. For example,  $\{100\}$  represents the  $(100)$ ,  $(010)$ ,  $(001)$ ,  $(\bar{1}00)$ ,  $(0\bar{1}0)$  and  $(00\bar{1})$  lattice planes in a cubic crystal.

## Homework Question

1.1 Write down the  $\langle 111 \rangle$  set of symmetry-equivalent directions in a cubic lattice.